## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Hu et al.

Prior Group Art Unit:

1646

Application No.:

Unknown

Prior Application Examiner:

M. Pak

Parent Application Serial Number 09/825,147

Filed: Concurrently Herewith

Attorney Docket No.: LEX-0481-USA

Title: Novel Human Ion Channel Protein and

Polynucleotides Encoding the Same

## REQUEST TO MAKE PRIOR ART FROM PREVIOUS APPLICATION OF RECORD

**Assistant Commissioner for Patents** Alexandria, VA 22313-1450

Sir:

The present paper constitutes Applicants' compliance with their duty of disclosure under 37 C.F.R. § 1.56. Please make all prior art of record in parent application Serial No. 09/825,147, filed April 3, 2001, of record in the present case, including that cited by the Office and Applicants. As a courtesy, copies of the Form PTO-1449s from the prior application are included herewith as Exhibit A.

Respectfully submitted,

March 17, 2004

Date

David W. Hibler

Reg. No. 41,071

Agent for Applicants

LEXICON GENETICS INCORPORATED 8800 Technology Forest Place

The Woodlands, TX 77381

wit W. Holes

(281) 863-3399

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LEX-0160-USA

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 09/825,147 INFORMATION DISCLOSURE Filing Date April 3, 2001 **First Named Inventor** Hu, Yi STATEMENT BY APPLICANT Group Art Unit 1646 To Be Assigned Examiner Name

Attorney Docket Number

				U.S. PATENT DOCUMEN	TS	
Examiner Initials*	Cite No.1	U.S. Patent Number	Document  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	4,215,051		Schroeder et al	07/29/80	riquies Appeai
	AB	4,376,110		David et al	03/08/83	
	AC	4,594,595		Struckman	06/10/86	
	AD	4,631,211		Houghten	12/23/86	
	AE	4,689,405		Frank et al	08/25/87	
	AF	4,713,326		Dattagupta et al	12/15/87	***
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	АН	4,946,778		Ladner et al	08/07/90	
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*	AL	5,459,127		Felgner et al	10/17/95	
	AM	5,556,752		Lockhart et al	09/17/96	
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	AP	5,830,721		Stemmer et al	11/03/98	
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	AR	5,869,336		Meyer et al.	02/09/99	
	AS	5,877,397		Lonberg et al	03/02/99	
	AT	6,075,181		Kucherlapati et al	06/13/00	
	AU	6,110,490		Thierry	08/29/00	
	AV	6,150,584		Kucherlapati et al.	11/21/00	

				FORE	GN PATENT DOCUMENT	s			
Examiner Initials*		F	oreign Patent Docu	ment			Date of	Pages, Columns,	
	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	Name of Patentee or Applica Document	int of Cited	Publication of cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Pelevant	T <sup>6</sup>
								Figures Appear	
Examiner Signature						Date Considered			

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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INE	ORMATION D	)IC	CI OSLIDE	Application Number	09/825,147		
		_	=	Filing Date	April 3, 2001		
ST/	ATEMENT BY	AF	PLICANI	First Named Inventor	Hu, Yi		
				Group Art Unit	1646		
	(use as many sheets	as nec	essary)	Examiner Name	To Be Assigned		
Sheet	2	of	3	Attorney Docket Number	LEX-0160-USA		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	_
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	AW	Bird et al, 1988, "Single-Chain Antigen-Binding Proteins", Science 242:423-426.	
	AX	Bitter et al, 1987, "Expression and Secretion Vectors for Yeast", Methods in Enzymology 153:516-544.	
	AY	Colbere-Garapin et al, 1981, "A New Dominant Hybrid Selective Marker for Higher Eukaryotic Cells", J. Mol. Biol. 150:1-14.	
	AZ	Gautier et al, 1987, "α-DNA IV:α-anomeric and β-anomeric tetrathymidylates covalently linked to intercalating oxazolopyridocarbazole. Synthesis, physiochemical properties and poly (rA) binding", Nucleic Acids Research 15(16):6625-6641.	
	ВА	Gordon, 1989, "Transgenic Animals", International Review of Cytology, 115:171-229.	
	ВВ	Greenspan et al, 1993, "Idiotypes: structure and immunogenicity", FASEB Journal 7:437-444.	
	ВС	Gu et al, 1994, "Deletion of a DNA Polymerase $\beta$ Gene Segment in T Cells Using Cell Type-Specific Gene Targeting", Science 265:103-106.	
	BD	Huse et al, 1989, "Generation of a Large Combinatorial Library of the Immunoglobulin Repertoire in Phage Lambda", Science 246:1275-1281.	
	BE	Huston et al, 1988, "Protein engineering of antibody binding sites: Recovery of specific activity in an anti-digoxin single-chain Fv analogue produced in Escherichia coli", Proc. Natl. Acad. Sci. USA 85:5879-5883.	
	BF	Inoue et al, 1987, "Sequence-dependent hydrolysis of RNA using modified oligonucleotide splints and R Nase H", FEBS Letters 215(2):327-330.	
	BG	Inoue et al, 1987, "Synthesis and hybridization studies on two complementary nona(2'-O-methyl)ribonucleotides", Nucleic Acids Research 15(15):6131-6149.	:
	ВН	Inouye & Inouye, 1985, "Up-promoter mutations in the lpp gene of Escherichia coli", Nucleic Acids Research 13(9):3101-3110.	
	ВІ	Janknecht et al, 1991, "Rapid and efficient purification of native histidine-tagged protein expressed by recombinant vaccinia virus", PNAS 88:8972-8976.	
	BJ	Kohler & Milstein, 1975, "Continuous cultures of fused cells secreting antibody of predefined specificity", Nature 256:495-497.	
	вк	Lakso et al, 1992, "Targeted oncogene activation by site-specific recombination in transgenic mice", Proc. Natl. Acad. Sci. USA 89:6232-6236.	
	BL	Lam et al, 1991, "A new type of synthetic peptide library for identifying ligand-binding activity", Nature 354:82-86.	Г
	ВМ	Lavitrano et al, 1989, "Sperm Cells ad Vectors for Introducing Froeign DNA into Eggs: Genetic Transformation of Mice", Cell 57:717-723.	
	BN	Lo, 1983, "Transformation by Iontophoretic Microinjection of DNA: Multiple Integrations without Tandem Insertions", Mol. Cell. Biology 3(10):1803-1814.	
	во	Logan et al, 1984, "Adenovirus tripartite leader sequence enhances translation of mRNAs late after infection", Proc. Natl. Acad. Sci. USA 81:3655-3659.	
	ВР	Lowy et al, 1980, "Isolation of Transforming DNA: Cloning the Hamster aprt Gene", Cell 22:817-823.	Γ
	ВQ	Morrison et al, 1984, "Chimeric human antibody molecules: Mouse antigen-binding domains with human constant region domains", Proc. Natl. Acad. Sci. USA 81:6851-6855.	
	BR	Mulligan & Berg, 1981, "Selection for animal cells that express the Escherichia coli gene coding for xanthine-guanine phosphoribosyltransferase", Proc. Natl. Acad. Sci. USA 78(4):2072-2076.	
	BS	Neuberger et al, 1984, "Recombinant antibodies possessing novel effector functions", Nature 312:604-608.	Ī
	вт	Nisonoff, 1991, "Idiotypes: Concepts and Applications", J. of Immunology 147:2429-2438.	T
	BU	O'Hare et al, 1981, "Transformation of mouse fibroblasts to methotrexate resistance by a recombinant plasmid expressing a prokaryotic dihydrofolate reductase", Proc. Natl. Acad. Sci. USA 78(3):1527-1531.	T
	ВV	Ruther et al, 1983, "Easy identification of cDNA clones", EMBO Journal 2(10):1791-1794.	T

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Subs	stitute for form 1449B/PTO				Complete if Known
INE	ORMATION	מפות	CLOSURE	Application Number	09/825,147
	<del>-</del>			Filing Date	April 3, 2001
517	ATEMENT B	YAF	PLICANI	First Named Inventor	Hu, Yi
				Group Art Unit	1646
	(use as many sheet	s as nec	essary)	Examiner Name	To Be Assigned
Sheet	3	of	3	Attorney Docket Number	LEX-0160-USA

	_	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	BW	Santerre et al, 1984, "Expression of prokaryotic genes for hygromycin B and G418 resistance as dominant-selection markers in mouse L cells", Gene 30:147-156.	
	ВХ	Sarver et al, 1990, "Ribozymes as Potential Anti-HIV-1 Therapeutic Agents", Science 247:1222-1225.	
	BY	Shilo et al, 1981, "DNA sequences homologous to vertebrate oncogenes are conserved in Drosophila melanogaster", Proc. Natl. Acad. Sci. USA 78(11):6789-6792.	
	BZ	Stein et al, 1988, "Physiochemical properties of phosphorothioate oligodeoxynucleotides", Nucleic Acids Research 16(8):3209-3221.	
	AA	Szybalska & Szybalski, 1962, "Genetics of Human Cell Lines, IV. DNA-Mediated Heritable Transformation of a Biochemical Trait", Proc. Natl. Acad. Sci. USA 48:2026-2034.	
	AB	Takeda et al, 1985, "Construction of chimaeric processed immunoglobulin genes containing mouse variable and human constant region sequences", Nature 314:452-454.	
	AC	Thompson et al, 1989, "Germ Line Transmission and Expression of a Corrected HPRT Gene Produced by Gene Targetin in Embryonic Stem Cells", Cell 56:313-321.	9
	AD	Van Der Putten et al, 1985, "Efficient insertion of genes into the mouse germ line via retroviral vectors", Proc. Natl. Acad. Sci. USA 82:6148-6152.	
•	AE	Van Heeke et al, 1989, "Expression of Human Asparagine Synthetase in Escherichia coli", J. Biol. Chemistry 264(10):5503-5509.	
	AF	Ward et al, 1989, "Binding activities of a repertoire of single immunoglobulin variable domains secreted from Escherichia coli", Nature 341:544-546.	
	AG	Wigler et al, 1977, "Transfer of Purified Herpes Virus Thymidine Kinase Gene to Cultured Mouse Cells", Cell 11:223-232.	
	АН	Wigler et al, 1980, "Transformation of mammalian cells with an amplifiable dominant-acting gene", Proc. Natl. Acad. Sci. USA 77(6):3567-3570.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Application Number	09/825,147				
Filing Date	April 3, 2001				
First Named Inventor	Hu, Yi				
Group Art Unit	1646				
Examiner Name	To Be Assigned				
Attorney Docket Number	LEX-0160-USA				

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite	U.S. Patent Document		Name of Patenton or Applicant	Date of Publication	Pages, Columns, Lines,			
	No.1	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	of cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear			
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Examiner Initials*	Cite No.¹	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
		Offic	e³ Number⁴	Kind Code <sup>s</sup> ( <i>if known</i> )	Applicant of Cited Document	cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T⁵
	CI		WO 00 77035 A		Neurosearch AS	12-21-2000		
	CJ		WO 00 61606 A		Merck & Company	10-19-2000		
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	ск	SCHROEDER B C ET AL, 2000, "KCNQ5, a novel potassium channel broadly expressed in brain, mediates M-type currents", Journal of Biological Chemistry, American Society of Biological Chemists, Baltimore, MD, US 275(31):24089-24095, XP002169158	
	CL	LERCHE C ET AL, 2000, "Molecular cloning and functional expression of KCNQ5, a potassium channel subunit that may contribute to neuronal M-current diversity", Journal of Biological Chemistry, American Society of Biological Chemists, Baltimore, MD, US 275(29)22395-224000, XP002169157	
	СМ	KUBISCH CHRISTIAN ET AL, 1999, "KCNQ4, a novel potassium channel expressed in sensory outer hair cells, is mutate in dominant deafness.", Cell 96(3):437-446, XP002173745	d
	CN	DATABASE EMBL 'Online! AW049888 (mus musculus EST), 4 March 2000, XP002173772	

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